



# **Optimizing a client's first six months on ART:**

Differentiated service delivery models to promote early retention in care

Sunday, 8 March 2020

Boston, MA

# Format

- Welcome and introductions
- Framing and objectives
- Presentation on early retention data, current country guidelines, data on interventions in the first 6 months
- **Discussions**
  - Clinical needs early on ART
  - Engagement optimization early on ART
  - And then, brainstorming on one or more models of care for the first six months after ART initiation

# Framing and objectives

Sydney Rosen

*Optimizing a client's first six months on ART:  
Differentiated service delivery models to promote  
early retention in care*

8 March 2020

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# Rationale

- Loss from care is highest in first 6 months, but current DSD models systematically *exclude* patients on ART < 6 months (or even <12 months)
- We have made progress toward optimizing the process of ART initiation and achieving long-term retention in care
- **Optimizing the delivery of care during the first six months is an obvious next challenge**
  - Little change in the past decade, despite "treat all" and better regimens; haven't reduced the logistical burden of treatment on patients during the first six months, when they might need it most.
  - Know little about importance of clinical or social interactions during this time period or their impact on outcomes

# Objectives

- Design one or more models for the first six months after ART initiation that aim to:
  - Maximize retention in care and/or viral suppression during the first 6 months on ART
  - Create conditions that will maximize long-term retention in care/suppression
  - Minimize time from ART initiation to viral suppression/meeting definition of stability
  - Reduce burden on patients and providers
  - Improve the experience of the first six months on treatment for patients and providers

# Baseline

## Assumptions:

- Continued increase in baseline CD4 counts, but with a substantial minority ( $\approx 10\text{-}20\%$ ) continuing to present with advanced disease
- Increasing proportion of re-initiators (decreasing proportion of ART-naïve initiators)
- Further scale-up of “low intensity” DSD models for stable patients

## Constraints:

- Existing infrastructure and staff (quantity, quality, and distribution)
- Existing management and implementation capacity
- Flat-lined resource availability per patient

# Goal

- Imagine that we have a blank slate, can design any models we want to optimize the first six months of care, *subject to the constraints on the previous slide*
- Come away from the meeting with ideas that could be implemented and evaluated under current conditions (or at least a plan to have ideas)



# Review of data on early retention in HIV care in Africa, country guidelines, and interventions

Anna Grimsrud

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# Retention

Most of the lost clients are under 35 y.o., well and in the first 6 months of initiating treatment

**PEPFAR**  
U.S. President's Emergency Plan for AIDS Relief

UNCLASSIFIED



# Eswatini

## PEPFAR: Q1 FY20: TX\_ML Analysis – Contribution to Attrition by Age and Sex

Age	Sex	TX_ML					Transferred Out
		Died	LTFU 3+ Months Treatment	LTFU <3 Months Treatment	Refused Stopped Treatment		
<01	Female	0.0%	0.3%	0.0%	0.0%	0.1%	
<01	Male	0.7%	0.0%	0.0%	0.0%	0.0%	
01-04	Female	0.0%	0.3%	0.0%	0.0%	0.3%	
01-04	Male	0.7%	0.6%	0.9%	0.0%	1.6%	
05-09	Female	0.0%	0.6%	0.0%	0.0%	0.7%	
05-09	Male	0.7%	0.3%	0.0%	0.0%	0.7%	
10-14	Female	0.0%	1.5%	0.0%	0.0%	0.4%	
10-14	Male	0.0%	0.9%	0.0%	2.9%	0.8%	
15-19	Female	0.0%	4.6%	6.8%	0.0%	3.3%	
15-19	Male	0.7%	2.1%	2.7%	2.9%	0.8%	
20-24	Female	3.7%	11.6%	13.3%	10.1%	10.1%	
20-24	Male	1.5%	1.8%	0.9%	0.0%	1.5%	
25-29	Female	5.9%	16.5%	12.4%	5.8%	15.2%	
25-29	Male	1.5%	2.4%	8.8%	5.8%	2.9%	
30-34	Female	8.1%	13.4%	11.5%	15.9%	15.6%	
30-34	Male	5.9%	4.3%	14.2%	7.2%	4.7%	
35-39	Female	10.4%	6.7%	1.8%	6.7%	11.7%	
35-39	Male	4.4%	7.3%	1.8%	8.7%	3.7%	
40-44	Female	5.9%	4.0%	8.8%	5.8%	7.1%	
40-44	Male	4.4%	6.1%	4.4%	4.3%	3.3%	
45-49	Female	5.9%	1.8%	1.8%	2.9%	3.1%	
45-49	Male	7.4%	3.4%	5.3%	2.9%	2.8%	
50+	Female	11.1%	3.4%	1.8%	10.1%	4.7%	
50+	Male	20.7%	6.1%	0.9%	5.8%	4.8%	

Age	Sex	TX_RTT
<01	Female	0.00%
<01	Male	0.00%
01-04	Female	0.85%
01-04	Male	0.57%
05-09	Female	0.78%
05-09	Male	0.78%
10-14	Female	0.64%
10-14	Male	0.64%
15-19	Female	2.70%
15-19	Male	1.06%
20-24	Female	9.01%
20-24	Male	0.99%
25-29	Female	13.20%
25-29	Male	1.70%
30-34	Female	14.00%
30-34	Male	4.61%
35-39	Female	11.50%
35-39	Male	5.18%
40-44	Female	7.88%
40-44	Male	4.33%
45-49	Female	3.90%
45-49	Male	3.48%
50+	Female	6.60%
50+	Male	5.54%

**Bulk of attrition happens in clients aged 20-39 years**

% of all age bands



# Zambia

FY19 Retention: Disproportionately high number of TX\_N

Of those LTFU – 36% were initiated in the past year

13% of eligible patients<sup>1</sup> at the end of FY19 were LTFU<sup>2</sup>



<sup>1</sup>Eligible patients had a scheduled appointment or an actual appointment within the fiscal year + patients who started ART during the fiscal year

<sup>2</sup>Patients who were more than 30 days late to an expected appointment at the end of the fiscal year



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# Zambia

High proportion of TX\_NEW may already be on ART

Baseline VL among those with a “first” positive test in select facilities: **33% were already suppressed**, suggesting they are not treatment naïve

Province	# Baseline VL	# Suppressed	% suppressed
Eastern	175	66	38%
Lusaka	37	19	51%
Southern	171	44	26%
Western	27	6	22%
<b>Total</b>	<b>410</b>	<b>135</b>	<b>33%</b>



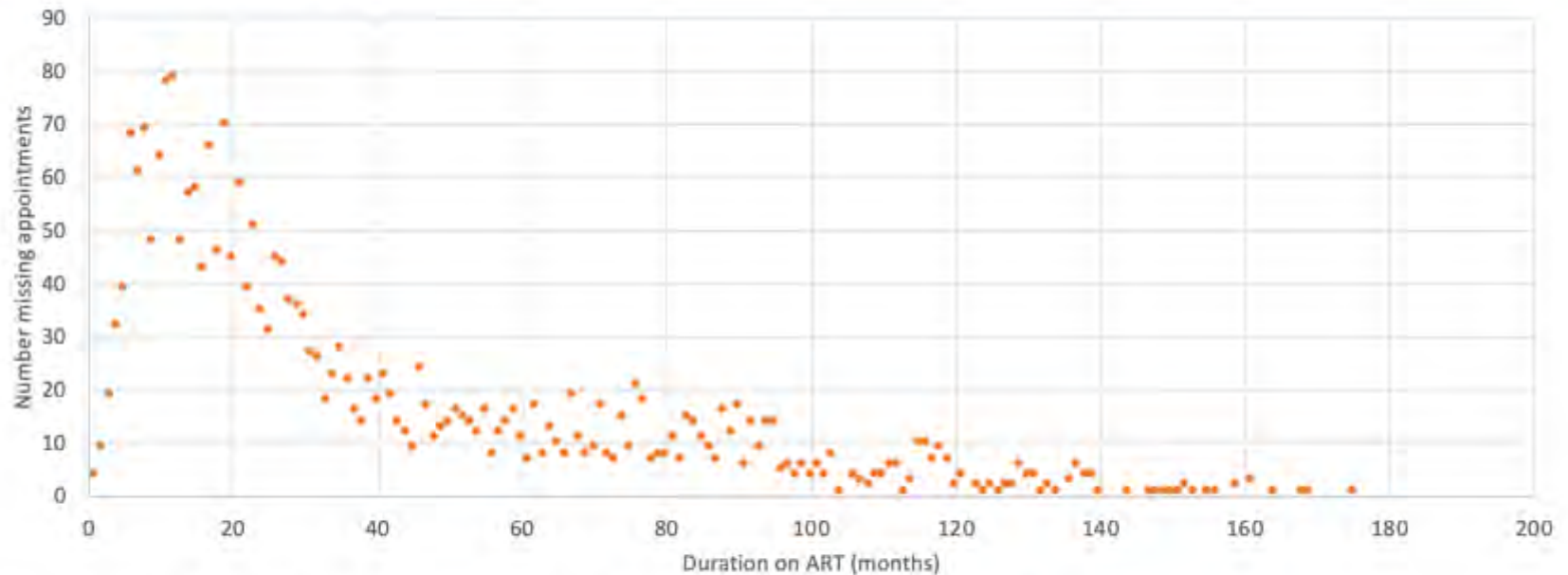
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# Malawi

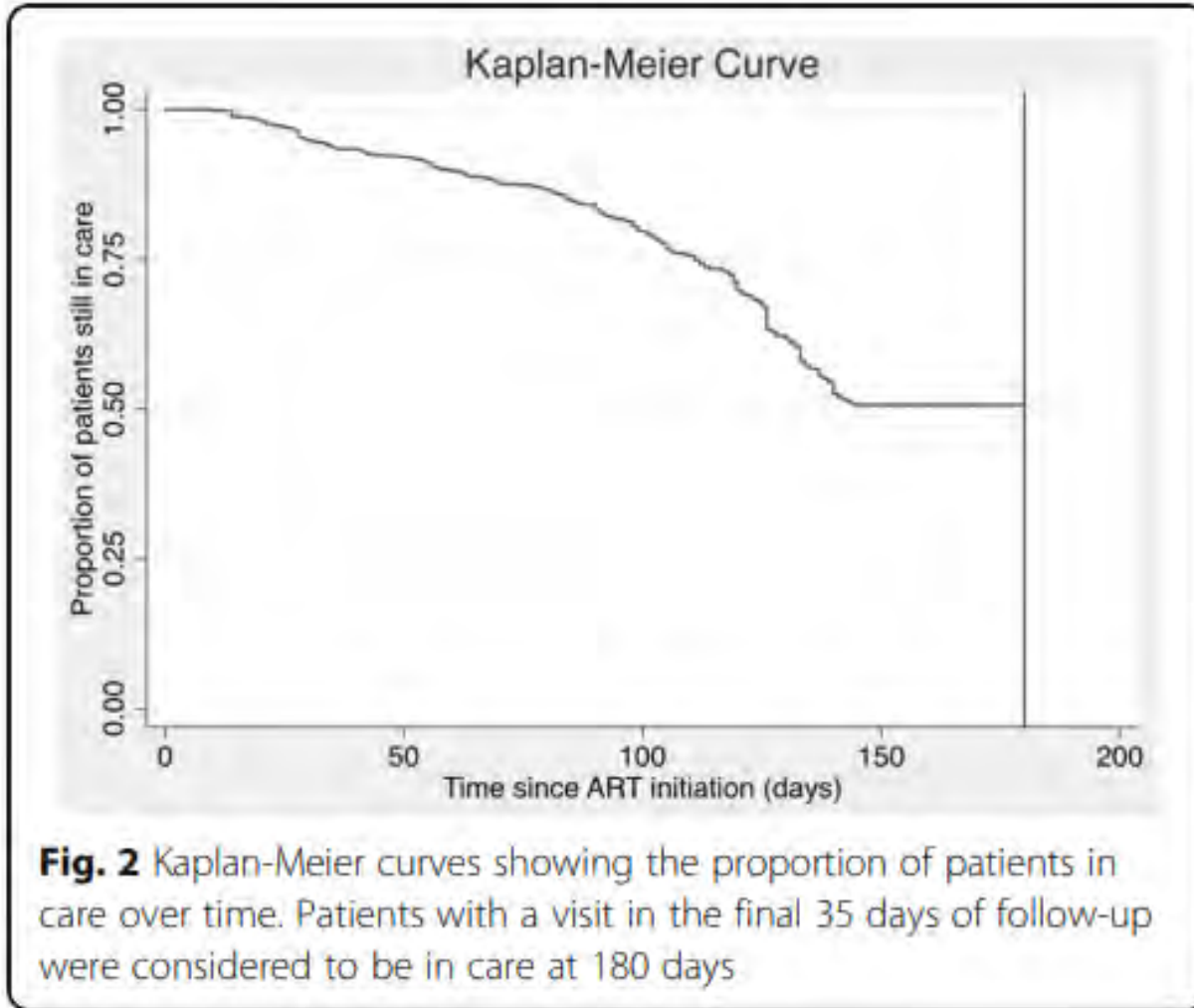
Most patients miss their appointments early in treatment | Need to Focus on Time Period Early in ART



*Majority of patients missed appointments early in treatment (within 12 months).*  
Patients on ART for >5 years(60 Months) less likely to miss appointments



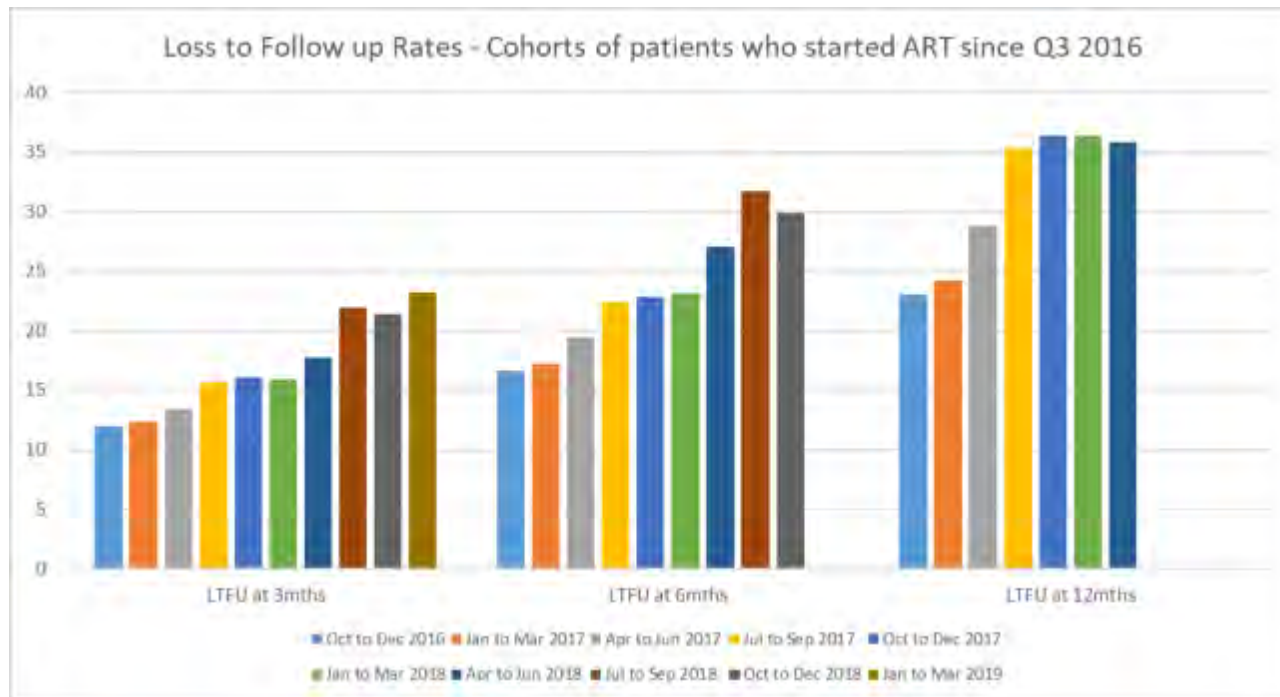
# Uganda



- 928 newly HIV-diagnosed patients
- Only 53.0% linked to care within 1 month
- Of these, 83.7% linked within 1 week.
- Among 678 newly initiated ART patients, 14.5% never returned for a follow-up visit at the facility

# South Africa programmatic data

- Loss to follow-up rates are still higher than acceptable and greater efforts are required to **track, trace and return** patients to care.

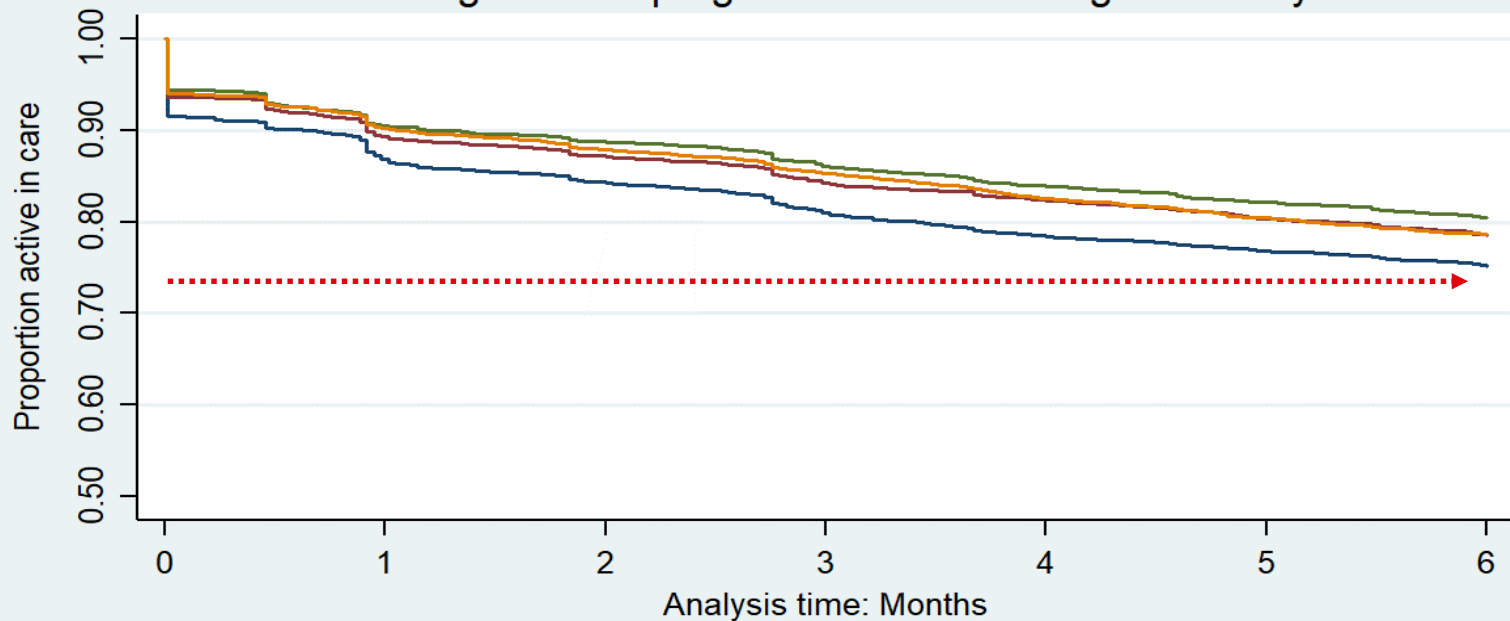


- Loss to follow up rates have increased since 2016:
- 12% to 23% after 3mths
  - 17% to 30% after 6mths
  - 23% to 36% after 12mths



# South Africa

LTFU to 6 months by time to ART start  
excluding females pregnant at ART start aged 15-50 yrs



Number at risk

0. same day	8437	(1102)	7127	(201)	6860	(271)	6524	(203)	6263	(135)	6081	(130)	5902
1. 1-7 days	6822	(719)	5972	(145)	5766	(197)	5523	(120)	5354	(128)	5185	(119)	5028
2. 8-21 days	6369	(598)	5610	(107)	5436	(161)	5219	(133)	5044	(105)	4894	(101)	4756
3. 22+ days	5192	(503)	4590	(116)	4422	(132)	4255	(134)	4080	(108)	3939	(88)	3816



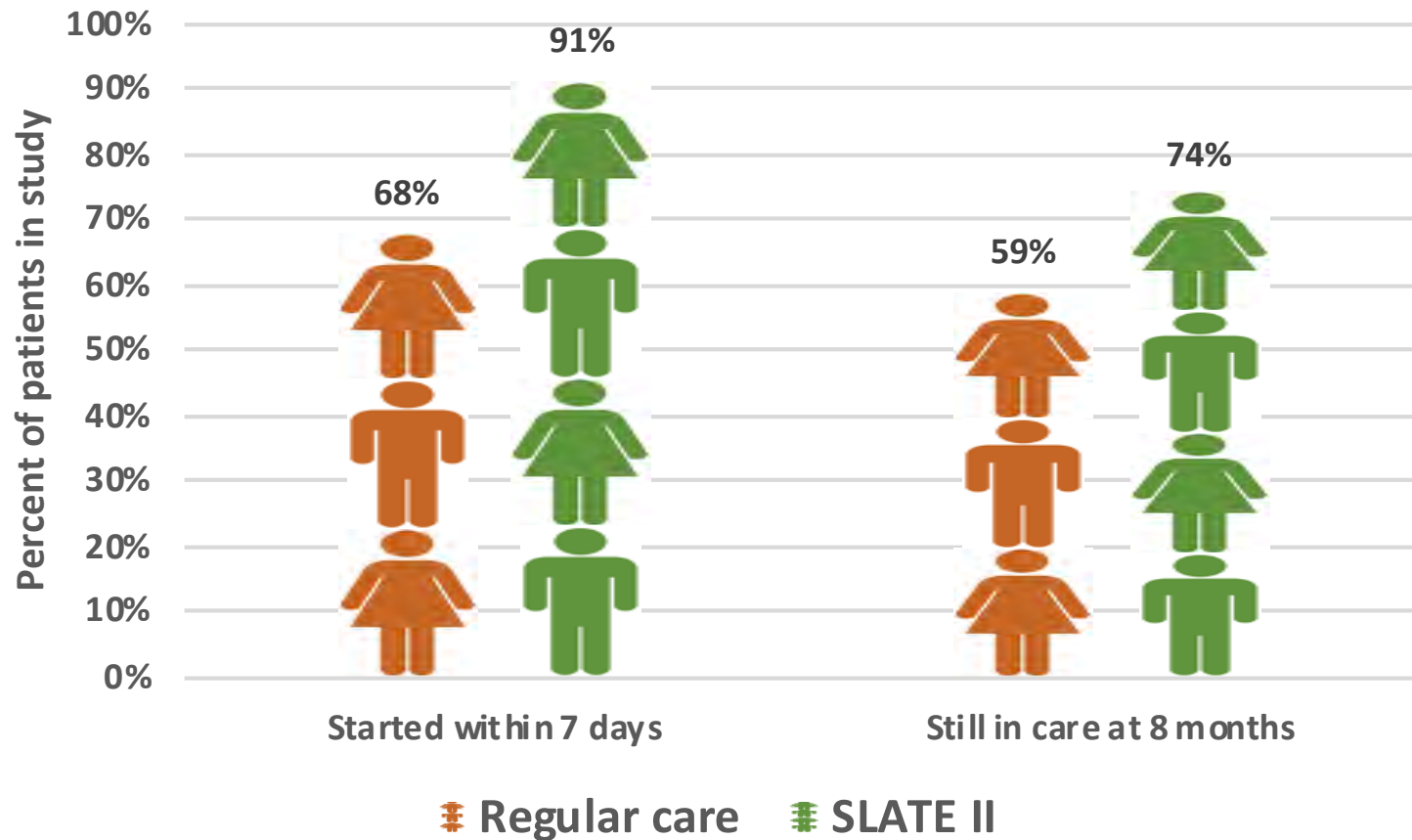
# South Africa: CROI 2020 poster (1074)

“Treat-all HIV policies and patient attrition in South Africa, a prospective study”

- 12-months attrition was:
  - 47.2% pre-universal test and treat
  - 38.2% universal test and treat and
  - 47.7% under the same-day initiation policy
- 89.2% of those who were late for visits at six months were LTFU at 12 months.
- Starting ART 1-14 day after diagnosis – 50% reduction in 12-months LTFU than starting on the day of diagnosis

# On the other hand: South Africa: CROI 2020 poster (1070)

“A structured algorithm for same-day initiation: SLATE II trial primary outcomes”



# Temporal Trends in Early Loss to Follow-up in Southern Africa

## Methods

- Eligibility - HIV-positive adults (15 years or older) who initiated ART between 2013 and 2018 and had at least 1 year of potential follow-up
- LTFU - No documented visit  $\geq 120$  days, patients are censored at first LTFU event, re-entry of individuals who returned to care after LTFU is not permitted ([Johnson 2014 AJE](#))
- Analysis - Cumulative incidence of LTFU accounting for death as competing event, adjusted cause-specific hazard ratios (HR) using Cox regression, HRs adjusted for age, gender, and stratified by treatment facility

Special thanks to Andreas Haas and colleagues for this analysis

# Malawi rural

**Cumulative incidence of LTFU  
by year of ART initiation**

**Adjusted hazard ratios for time  
trends in LTFU**

**Embargoed – not for circulation**

Special thanks to Andreas Haas and colleagues for this analysis

# Malawi urban

**Cumulative incidence of LTFU  
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# Zimbabwe rural

**Cumulative incidence of LTFU  
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# Zambia

**Cumulative incidence of LTFU  
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# Interventions

- What has been tried to improve early retention?
- Much more programmatically than can be found in the peer-reviewed literature



# Summary of country guidelines

COUNTRY	# of clinical visits 0-6 months	# of clinical visits 0-12 months	Timing of first VL (or CD4) post initiation	Timing of second viral load	Time to DSD eligibility
Eswatini	Monthly (6 times)	12	6 months	12 months	12 months on ART eligible for CommART
Ghana	Monthly (6 times)	3	6 months	12 months	12 months
Kenya	Monthly (6 times)	6-12	6 months	12 months	12 months
South Africa	Monthly (6 times)		6 months*	12 months	Transitioning to 6 from 12 <sup>+</sup>
Tanzania	Monthly (6 times)	4-6	6 months	12 months	12 months
Uganda	4 times	4	6 months	12 months (for children)	12 months
Zimbabwe	3 times	2	6 months	12 months	12 months

\* In the Western Cape province, viral load is done at 4 months

+ In South Africa, National Adherence Guidelines are being revised to transitioning patients to "repeat prescription collection strategies" after 6 months on ART



# First, building blocks





So for early treatment could be...

	Month 0	Month 3	Month 6
WHEN			
WHERE			
WHO			
WHAT			



# Frequency of clinical visits (x) and viral load (VL) in the first year

Country	D0	Week 2	Month 1	Month 2	Month 3	Month 4	Month 5	Month 6	Month 7	Month 8	Month 9	Month 10	Month 11	Month 12
Eswatini	X		X	X	X	X	X	X VL	X	X	X	X	X	X VL
Ghana	X	X	X	X	X	X	X	X VL		X		X		X VL
Kenya	X	X	X	X	X	X	X	X VL	Every 1-2 months depending on stability					X VL
South Africa	X	X	X	X	X	X	X	X VL						X VL
Tanzania	X	X	X	X	X	X	X	X VL	Every 2-3 months depending on stability					X VL
Uganda	X		X	X	X			X VL	Every 3 months					X VL (for children)
Zimbabwe	X	X	X		X			X VL	X					X VL

# Recent data on why patients miss clinic visits in South Africa, by time on ART

**Embargoed – not for circulation**

# South Africa – Fast track initiation counseling

## Four sessions of counselling are part of initiation

- Session 1 (Linkage):
  1. Education on illness and treatment (individual or group)
  2. Identify life goals
  3. Identify support system
  4. Plan for future appointments
  5. Assess readiness of the patient to start treatment
- Session 2 (Day of initiation):
  6. Medication schedule
  7. Managing missed dose
  8. Adherence strategies
  9. Storing medication and extra medication doses
  10. Dealing with side effects
- **Session 3 (After 1 month on ART):**
  11. Explain treatment path ahead
  12. Plan for travels
  13. Dealing with substance use
- **Session 4 (After 2 months on ART):**
  - Assessment education, treatment goals, pathway ahead
    - Provide an explanation/information of further test (e.g. VL)

*If same-day initiation, then session 1 and session 2 must be completed together*





RESEARCH ARTICLE

## Differentiated HIV care in South Africa: the effect of fast-track treatment initiation counselling on ART initiation and viral suppression as partial results of an impact evaluation on the impact of a package of services to improve HIV treatment adherence

Sophie JS Pascoe<sup>1,3</sup> , Matthew P Fox<sup>1,2,3</sup> , Amy N Huber<sup>1</sup>, Joshua Murphy<sup>1</sup>, Mokgadi Phokojoe<sup>4</sup>, Marelize

**Methods:** We conducted a cluster-randomized mixed-methods evaluation in 4 provinces at 12 intervention sites which implemented FTIC and 12 control facilities providing standard of care. Follow-up was by passive surveillance using clinical records. We included data on subjects eligible for FTIC between 08 Jan 2016 and 07 December 2016. We adjusted for pre-intervention differences using difference-in-differences (DiD) analyses controlling for site-level clustering.

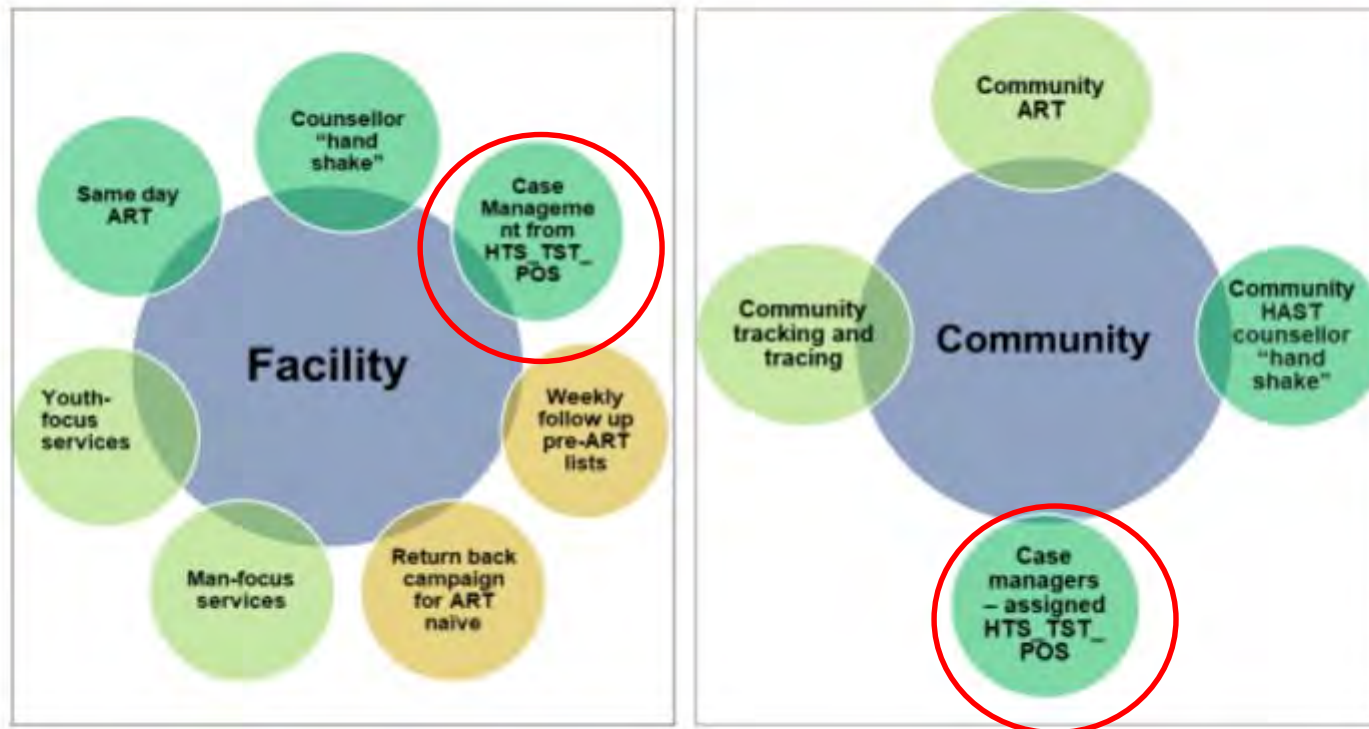
**Results:** We enrolled 362 intervention and 368 control arm patients. Thirty-day ART initiation was 83% in the intervention and 82% in the control arm (RD 0.5%; 95% CI: -5.0% to 6.0%). After adjusting for baseline ART initiation differences and covariates using DiD we found a 6% increase in ART initiation associated with FTIC (RD 6.3%; 95% CI: -0.6% to 13.3%). We found a small decrease in viral suppression within 18 months (RD -2.8%; 95% CI: -9.8% to 4.2%) with no difference after adjustment (RD: -1.9%; 95% CI: -9.1% to 5.4%) or when considering only those with a viral load recorded (84% intervention vs. 86% control). We found reduced crude 6-month retention in intervention sites (RD -7.2%; 95% CI: -14.0% to -0.4%). However, differences attenuated by 12 months (RD: -3.6%; 95% CI: -11.1% to 3.9%). Qualitative data showed FTIC counselling was perceived as beneficial by patients and providers.

**Conclusions:** We saw a short-term ART-initiation benefit to FTIC (particularly in districts where initiation prior to intervention was lower), with no reductions but also no improvement in longer-term retention and viral suppression. This may be due to lack of fidelity to implementation and delivery of those components that support retention and adherence. FTIC must continue to be implemented alongside other interventions to achieve the 90-90-90 cascade and fidelity to post-initiation counselling sessions must be monitored to determine impact on longer-term outcomes. Understanding the cost-benefit and role of FTIC may then be warranted.



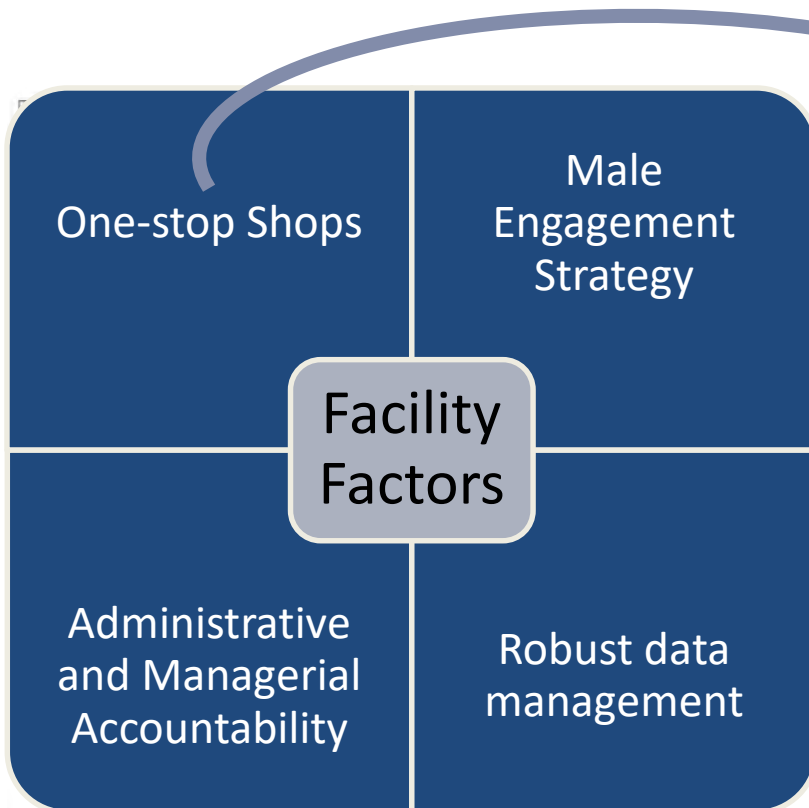
# South Africa- Huge investments by PEPFAR in “Case managers”

## Summary Of Linkage Best Practices



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## Mozambique



### CS NICOADALA & CS COALANE

- New on ARV until virally-suppressed
  - Challenge: High-volume HF >200 TX\_NEW per month
  - **Solution:** At least 2 one-stop consultation rooms

**One-stop** has best total wait time vs. consult time:

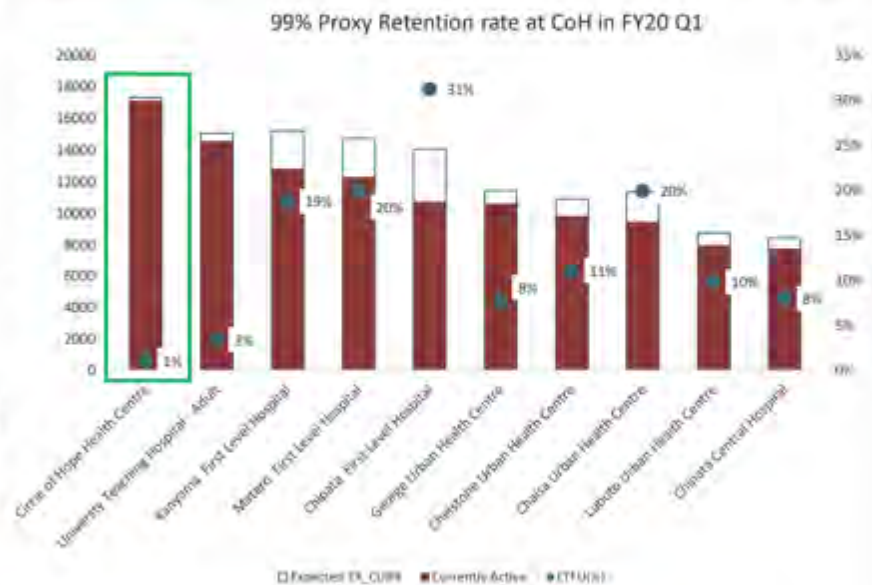


# Zambia

## Better Treatment Preparation to Improve retention among asymptomatic Clients: The “walk methodology”

- Adequate treatment preparation (the CoH ‘Walk methodology’)
- Treatment literacy
- Positive messages
  - U=U
  - Normal life with early treatment initiation and adherence
- Allows flexibility on where to receive ART services (community post model)

### 99% Proxy Retention rate at CoH in FY20 Q1



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54



# Malawi

## Can We Predict who Is At Risk of LTFU in 1<sup>st</sup> 6 Months so we Can Target Limited Resources

### Results of Individual-Level Risk Analysis

	Variable	AOR	95% CI	p-value	B co-efficient
Sex	Females	1.00			
	Males	1.35(1.07-1.38)		0.000	0.19
Age	> 35	1.00			
	15-24	2.36(1.98-2.82)		0.000	0.86
	25-34	1.53(1.34-1.76)		0.000	0.43
WHO Stage 1, 2, or 3	1, 2, or 3	1.00			
	4	1.92(1.42-2.58)		0.000	0.65
CD4	<200	1.00			
	200-499	1.10(0.96-1.26)		0.076	0.10
	>=500	2.16(1.8-2.61)		0.000	0.77



### Clinically Useful Scoring Sheet to Stratify Risk

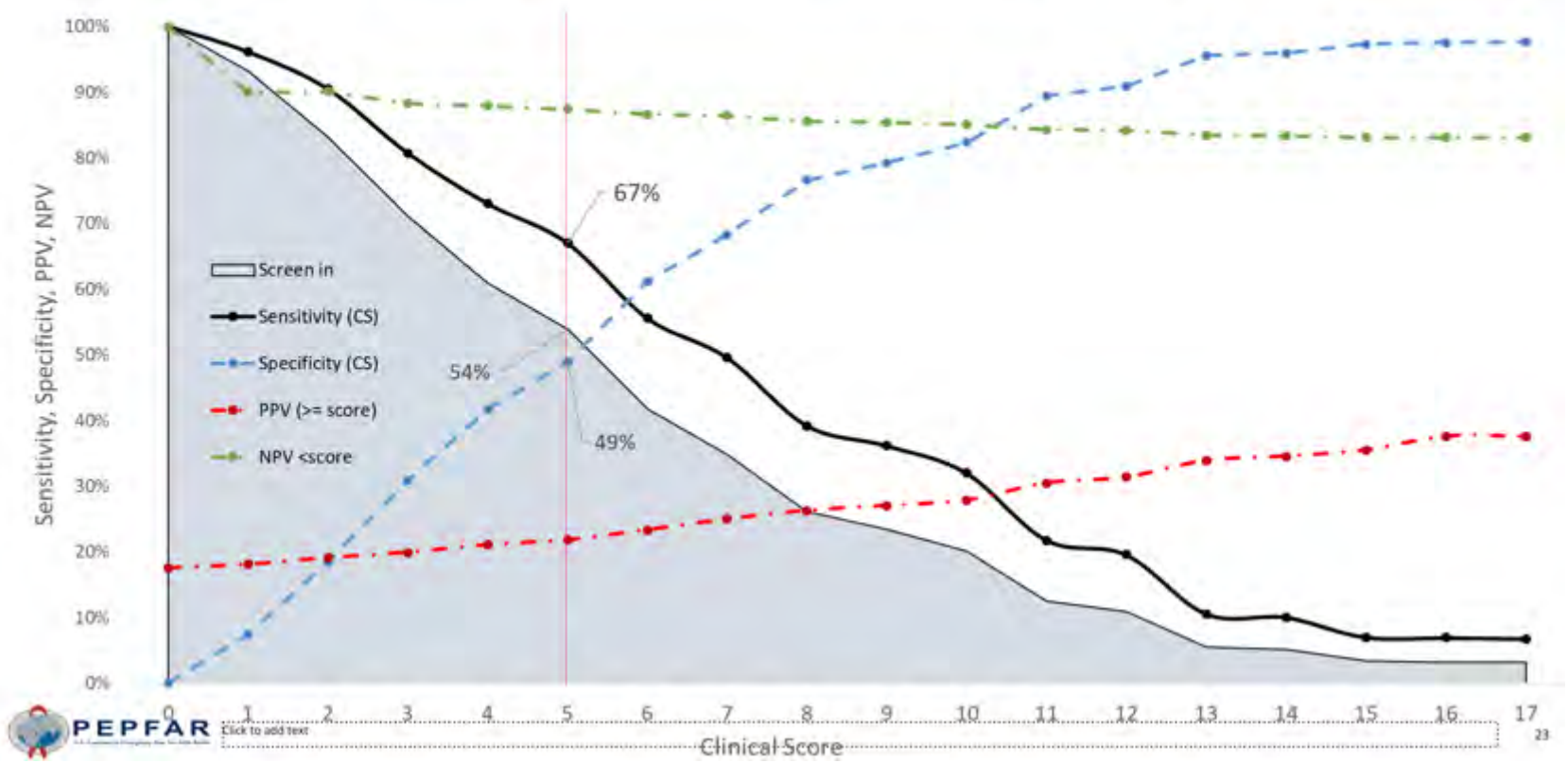
Risk Factor	Category	Associated points	Assigned score
Gender	Females	0	
	Males	2	+
Age	>35	0	
	15-24	9	
	25-34	4	
WHO Disease stage	I, II, III	0	+
	IV	7	
CD4 Count (cells/ $\mu$ L)	<200	0	+
	200-499	1	
	>=500	8	
<b>Total</b>			<b>-</b>





# Malawi

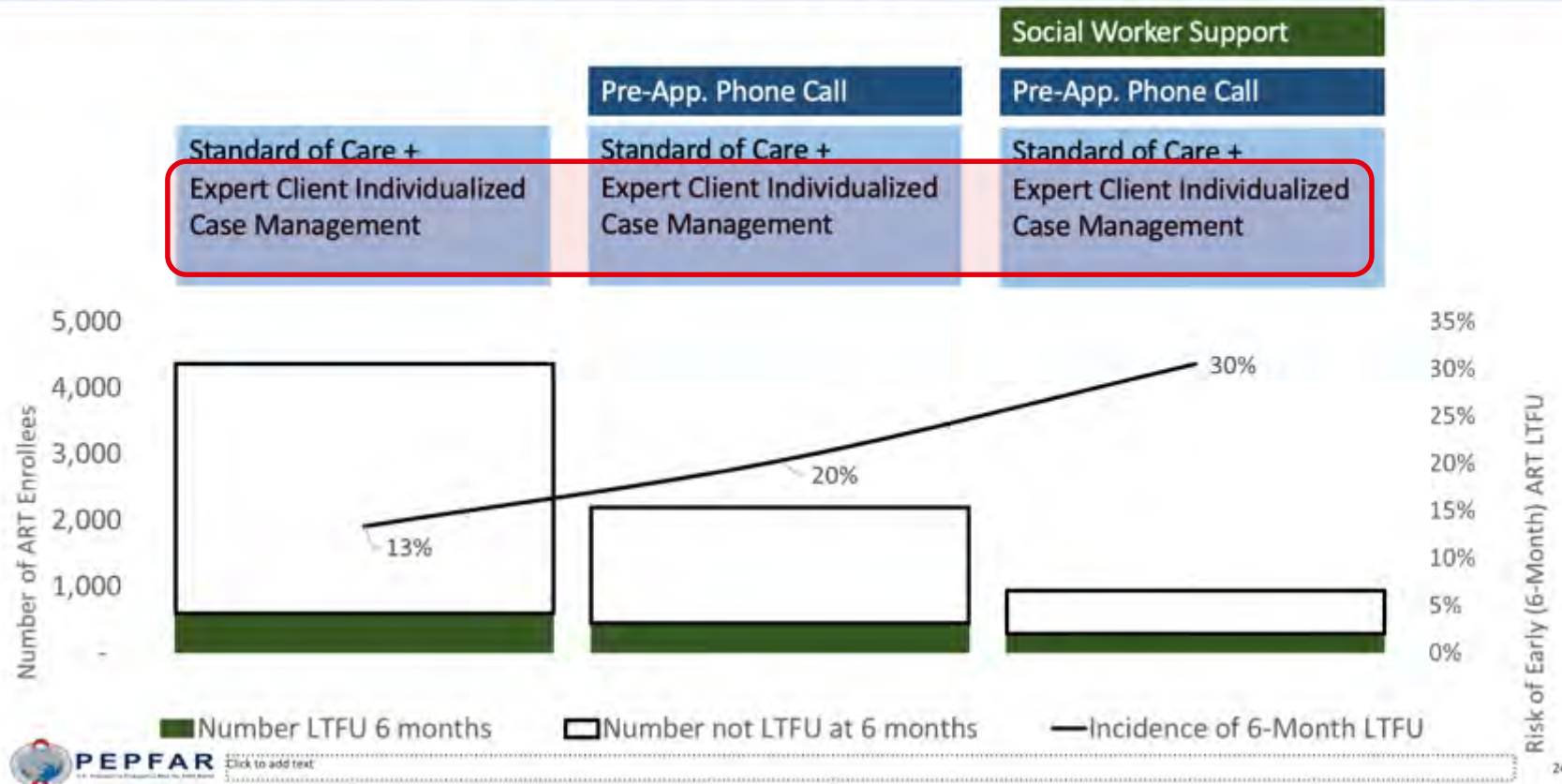
Screening Tool Can Help More Efficiently Prioritize the 54% of Patients who account for 67% of LTFU with Intensified Retention Package





# Malawi

## Stratified Package of Care Guided by Patient-level Risk Score





# Discussion 1

## Clinical optimization

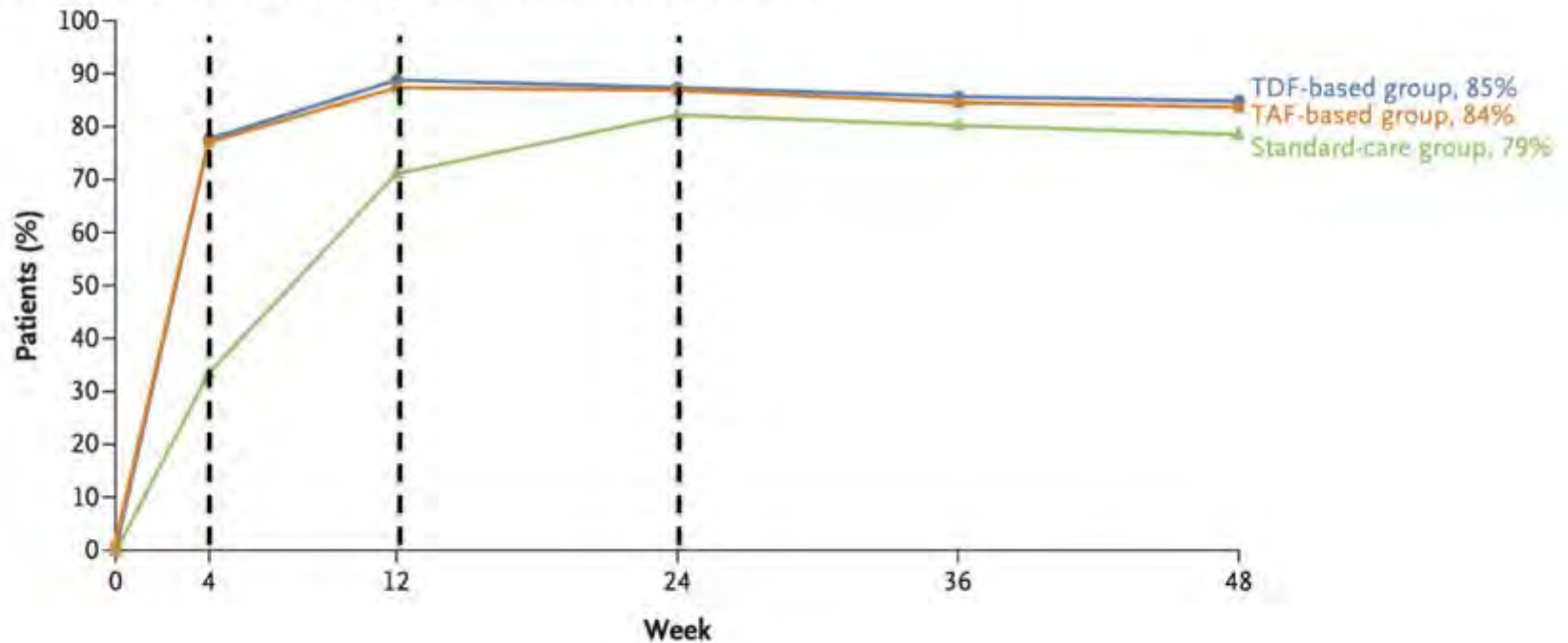
- What do people living with HIV need and want clinically in the first 6 (12) months on treatment?
- Given resource constraints, can (and should) we “dis-intensify” clinical care in this period without jeopardizing outcomes?
- Specific clinical questions, for example:
  - Earliest time to do VL monitoring with DTG
  - When is an in-person clinical consultation needed, compared to a lay or virtual interaction ? Routinely or on demand? By whom?



# Earlier first viral load – suppression rates post-ART initiation

HIV/AIDS Department

A HIV-1 RNA Level <50 Copies/ml, Intention-to-Treat Population



Source: Venter et al NEJM 2019



# Discussion 2: Engagement

- What do people living with HIV need and want in terms of engagement?
- Can we triage to more or less engagement?
- Specific engagement questions, for example:
  - The importance of offering patient choice
    - In a study, how can choice be included? What are the choice(s)/options?
  - How are positive engagement habits formed?
    - Short term investment for lifelong ART



# Discussion 3:

## What would an optimal model look like?

- What are the clinical needs?
- What are the engagement needs?
- And how should/could these be provided?

...Let's make a model!



*Let's have brunch!*